



DROYLSDEN
Academy

Examination Procedures for Planning, Managing and Risk Assessment of Controlled Assessments 2022/2023

Procedures for planning and managing Controlled Assessment

The purpose of this document is to help the staff of Droylsden Academy to identify their responsibilities in planning and managing Controlled Assessments.

GCSE Controlled Assessment – Outlining Staff Responsibilities

Senior Leadership Team will undertake to do the following:

- Be accountable for the safe and secure conduct of controlled assessments. Ensure assessments comply with the JCQ Guidelines and Awarding Bodies' subject-specific instructions.
- At start of academic year coordinate with Head of Faculty to schedule controlled assessments ensuring they are spread throughout the academic year of KS4.
- Map overall resource management requirements for the year to resolve clashes over the timing or operation of controlled assessments and issues arising from the need for particular facilities eg. rooming's, time out of school etc.
- Update Internal Appeals Policy for Controlled Assessments.

Head of Department will undertake to do the following:

- Ensure that at least 40% of overall assessment (controlled and/or external assessment) is taken in the exam series in which the qualification is certified to satisfy the terminal assessment requirement in accordance with the awarding body specification.
- Standardise internally the marking of all teachers involved in assessing an internally assessed component.
- Ensure that individual teachers understand their responsibilities with regard to controlled assessment.
- Ensure that individual teachers understand the requirements of the Awarding Body's specification and are familiar with the relevant teachers' notes and any other subject specific instructions.
- Where appropriate, develop new assessment tasks or contextualise sample awarding body assessment tasks to meet local circumstances in line with awarding body specification and control requirements.

Teaching Staff will undertake to do the following:

- Understand and comply with the general guidelines contained in the JCQ publication *Instructions for Conducting Controlled Assessments*
- Understand and comply with the Awarding Body Specification for conducting controlled assessments including any subject specific instructions, teachers' notes or additional information of the Awarding Body's website.
- Supply to the Examinations Office details of all unit codes for Controlled Assessments
- Obtain confidential materials/tasks set by Awarding Bodies in sufficient time to prepare for the assessments and ensure that such materials are stored securely at all times
- Supervise assessments (at the specified level of control). Undertake the tasks required under the regulations, only permitting assistance to students as the specification allows.
- Ensure that students and supervising teachers sign authentication forms on completion of an assessment.

This is an annual Policy
Next Review Date: September 2023
Person Responsible: Deputy Headteacher
Approving Body: Headteacher

- Mark internally assessed components using the mark schemes provided by the Awarding Body. Submit marks through the Exams Office to the Awarding Body when required keeping a record of the marks awarded.
- Retain candidates' work securely between assessment sessions (if more than one)
- Post completion, retain candidates' work securely until the closing date for EARs. In the event that an enquiry is submitted, retain candidate's work securely until the outcome of the enquiry and any subject appeal has been conveyed to the centre.
- Ask the Examinations Officer in good time for any assistance required for the administration and management of access arrangements.

Examinations Officer will undertake to do the following

- Enter students for individual units, whether assessed by Controlled Assessments, external exam or on-screen test, before the deadline for final entries.
- Enter students for cash in codes for the terminal exam series.
- Where confidential materials are directly received by the exams office, to be responsible for receipt, safe storage and safe transmission, whether by USB, CD or hard copy format.
- Download and distribute mark sheets for teaching staff to use, and collect and send mark sheets to awarding bodies before deadlines.
- Collate marks to be entered on the Awarding Bodies' online systems and submit before deadlines.
- On occasions where controlled assessment cannot be conducted in the classroom, arrange suitable accommodation where controlled assessments can be carried out.
- Work with SENCO to ensure Access Arrangements have been applied for
- Ensure Access Arrangement requirements are met.

ASL Lead/SENCO will undertake to do the following

- Ensure the students are correctly assessed for Access Arrangements requirements
- Ensure that Access Arrangements are applied for through JCQ AA online application system
- Copies of complete paperwork is ready for JCQ Inspection.

Risk Management Process

This section examines potential risks and issues relating to the implementation of Controlled Assessment for GCSEs and considers how these might be managed and mitigated through forward planning and remedial actions.

Risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Timetabling			
Assessment schedule clashes with other activities	Plan/establish priorities well ahead (e.g. at the start of the academic year)	Plan dates in consultation with school calendar – negotiate with other parties	HOFs SLT
Too many assessments close together across GCSE subjects	Plan controlled assessments so they are spaced over the duration of the course	Space controlled assessments to allow candidates sometime between them	HOFs SLT
Assessments left until the last minute	Plan/establish priorities well ahead	Plan dates in consultation with school calendar and with cover diary	HOFs SLT
Accommodation			
Insufficient space in classrooms for candidates	Once the size of the groups are known at the start of the year, flag instances where regular classroom space may not be suitable to conduct controlled assessments	Use more than one classroom or multiple sittings where necessary	HOFs
Insufficient facilities for all candidates	Careful planning ahead and booking of rooms / centre facilities (eg laptops)	Run consecutive sessions if necessary: source alternative facilities	HOFs
Downloading awarding body set tasks			
IT system unavailable on day of assessment	Download tasks well ahead of scheduled assessment date in all cases	Book IT equipment well ahead and download tasks before scheduled date of assessment	HOFs
Teaching staff unable to access task details	Test secure access rights ahead of controlled assessment schedule every year and every session	Ensure teaching staff have access rights for the correct area of awarding body secure extranet sites well ahead of the controlled assessment schedule	HOFs

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Amendments/errata notices after downloading tasks	Check integrity of materials in advance where permissible	Check for regular updates/emails from exam boards and action immediately	HOFs EO
Loss of task details in transmission	Download tasks well ahead of scheduled assessment date	Report to awarding body and ask for replacement task; download again	
Absent candidates			
Candidates absent for all or part of assessment (various reasons)	Plan alternative session(s) for candidates, if permissible	Try to arrange session when all students are present or to put arrangements in place to preserve integrity of assessment	HOFs Teaching Staff
Candidates have a scheduling clash for exams or assessment	Always consider candidate timetables well ahead and decide on priorities in advance to scheduling clashes	Provide an alternative date, where possible, consult awarding body procedures for dealing with timetabling clashes. Retakes of controlled assessment are limited	HOFs
Control levels for task taking			
Assessment is undertaken under incorrect level of control (time, resources, supervision and collaboration)	Ensure teaching staff/assessors are fully briefed. Circulate Controlled Assessment guidelines early in the year. Know what level is applicable and understand what is involved. Provide training if required	Seek guidance from the awarding body	HOFs
Supervision			
Student study plan not provided or completed	Ensure teaching staff/assessors are aware of the need for study plans to be completed early in course	Ensure candidates start, continue and complete study plans that are signed after every session	Teachers

This is an annual Policy
Next Review Date: September 2023
Person Responsible: Deputy Headteacher
Approving Body: Headteacher

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Teaching staff/assessors do not understand that the supervision of controlled assessments is their responsibility	Ensure teaching staff/assessors understand nature of controlled assessments and their role in supervision.	Download guidance from Awarding Body	HOFs
Suitable supervisor has not been arranged for an assessment where teaching staff/assessors are not supervising	Arrange suitable supervisor in advance, in line with the awarding body's specification	HOD to liaise with Exams Officer to source supervision	HOFs
Task setting			
Teaching staff/assessors fail to correctly set tasks	Ensure teaching staff/assessors understand the task setting arrangements as defined in the awarding body's specification	Seek guidance from the awarding body	HOFs
Assessments have not been moderated in line with the awarding body's specification	Check specification and plan required moderation appropriately <i>Circulate Exams Policy</i>	Seek guidance from the awarding body	HOFs
Security of materials			
Assessment tasks not kept secure before assessment	Ensure teaching staff fully understand the importance of task security Check dates for release of material	Report to awarding body if assessment is compromised	EO
Candidates' work not kept secure during or after assessment	Define the appropriate level of security, in line with the awarding body's requirements, for each department as necessary	Seek guidance from the awarding body Teaching staff to sign material in/out	HOFs Teachers
Insufficient or insecure storage space	Look at provision for suitable storage early in the course and ensure it is sufficient	Seek alternative storage in the school – exams office	HOFs

This is an annual Policy
Next Review Date: September 2023
Person Responsible: Deputy Headteacher
Approving Body: Headteacher

Example risks and issues	Possible remedial action		Staff
	Forward planning	Action	
Deadlines			
Deadlines not met by candidates	Ensure all candidates are briefed on deadlines and the penalties for not meeting them	Mark what candidates have produced by the deadline and seek guidance from awarding body on further action	HOFs EO
Deadlines for marking and/or paperwork not met by teaching staff/assessors	Ensure teaching staff/assessors are given clear deadlines (prior to the awarding body deadline) to complete marking/paperwork. This enables marks to be processed and submitted ahead of awarding body deadlines	Seek guidance from awarding body Liaise with HOFs with deadline dates	HOFs
Authentication			
Candidate fails to sign authentication form	Ensure all candidates have authentication forms to sign and attach to their work when completed before handing in. Teaching staff to check	Candidate to be located in order to sign the form	Teachers
Teaching staff /assessors fail to complete authentication forms or leave before completing the authentication process	Ensure teaching staff/assessors understand the importance of authentication forms and the requirement of a signature	Return the authentication form to staff for signature. Ensure authentication forms are signed as work is marked, not at the	Teachers
Marking			
Teaching staff/assessors interpret marking descriptions incorrectly	Ensure appropriate training and practising of marking. Plan for sampling of marking during the practise phase	Arrange for re-marking. Consult the awarding body's specification for appropriate procedures	HOFs
Centre does not run the standardisation activity as required by the awarding body	Plan for the requirements for standardisation for the awarding body before this activity will be conducted	Check with the awarding body whether a later standardisation event can be arranged	HOFs

This is an annual Policy
Next Review Date: September 2023
Person Responsible: Deputy Headteacher
Approving Body: Headteacher